

Receipt

TRANSMITTAL LETTER			Case No. 6298/308
Serial No. <b>09/409,792</b>	Filing Date <b>SEPTEMBER 30, 1999</b>	Examiner <b>Not Yet Assigned</b>	Group Art Unit <b>3735</b>
Inventors <b>MARTIN P. FOLEY and ROBERT MORTON</b>			
Title of Invention <b>EXHALATION VALVE FOR FACE MASK WITH SPACER CHAMBER CONNECTION</b>			

FILE COPY

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith is a Request for Correction of Filing Receipt; copy of filing receipt; and

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

RECEIVED  
DEC 14 1999  
Group 3700

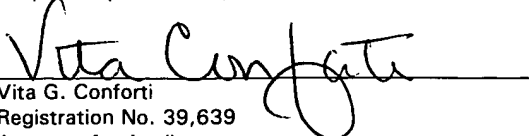
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x \$39 =	
+ \$130 =	
total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$78 =	
+ \$260 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

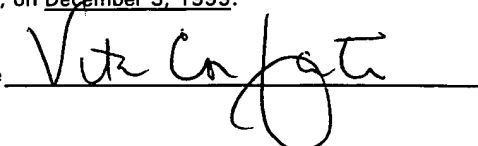
Respectfully submitted,

  
Vita G. Conforti  
Registration No. 39,639  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attn: Application Processing Division, Customer Correction Branch, on December 3, 1999.

Date: December 3, 1999

Signature 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attn: Application Processing Division, Customer Correction Branch, on December 3, 1999



Date of Deposit  
Vita G. Conforti, Reg. No. 39,639  
Name of applicant, assignee or Registered Representative  
Signature *Vita G. Conforti*  
December 3, 1999  
Date of Signature

**RECEIVED**  
DEC 14 1999  
**Group 3700**

Case No. 6298/308

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

FOLEY et al.

Serial No.: 09/409,792

Filed: September 30, 1999

For: EXHALATION VALVE FOR FACE MASK  
WITH SPACER CHAMBER

Attention: Manager,  
Application Branch

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: Application Processing Division  
Customer Correction Branch

Dear Sir:

Applicants request the issuance of a corrected filing receipt (copy enclosed)  
for the above-referenced patent application, and in support of this request  
respectfully state:

The title has been incorrectly listed and should be corrected as follows:

-- Exhalation Valve for Face Mask with Spacer Chamber Connection --.

The continuing data as claimed by the Applicants has been incorrectly listed and should be corrected as follows:

-- This application is a continuation of U.S. Serial No. 08/842,956 filed April 25, 1997, claiming priority to U.S. Serial No. 08/270,752, filed July 5, 1994, which is a continuation-in-part of 07/973,280 filed November 9, 1992 --.

The Commissioner is hereby authorized to charge any fees required to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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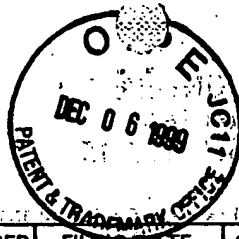
**Group 3700**

Dated: December 3, 1999

  
Vita G. Conforti  
Registration No. 39,639  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
POST OFFICE BOX 10395  
CHICAGO, ILLINOIS 60610  
312/321-4200

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP. ART. UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/409,792	09/30/99	3735	\$1,372.00	6298/308	2	28	9

VITA G CONFORTI  
BRINKS HOFER GILSON & LIONE  
P O BOX 10395  
CHICAGO IL 60610

RECEIVED  
DEC 14 1999  
Group 3700

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) MARTIN P. FOLEY, LONDON, CANADA; ROBERT MORTON, LONDON, CANADA.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CON OF 08/842,956 04/25/97

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/27/99  
TITLE  
EXHALATION VALVE

PRELIMINARY CLASS: 128

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RECEIVED  
U. S. DOCKET

DATA ENTRY BY: SYDNOR, RUTH

TEAM: 04 DATE: 10/27/99

|||||

(See reverse for new important information)

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# BEST AVAILABLE COPY LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

## GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## **PLEASE NOTE ---- The Following Information about the Filing Receipt:**

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:  
Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center

FILE COPY

SERIAL NUMBER 09/409,792	FILING DATE 09/30/99	CLASS 128	GROUP ART UNIT 3735	ATTORNEY DOCKET NO. 6298/308
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**APPLICANT** MARTIN P. FOLEY, LONDON, CANADA; ROBERT MORTON, LONDON, CANADA.

**\*\*CONTINUING DOMESTIC DATA\*\*\*\*\***  
 VERIFIED THIS APPLN IS A CON OF 08/842,956 04/25/97 PAT 5,988,160  
 AND A CON OF 08/270,752 07/05/94 PAT 5,645,049  
 WHICH IS A CIP OF 07/973,280 11/09/92 ABN

**\*\*371 (NAT'L STAGE) DATA\*\*\*\*\***  
 VERIFIED

**\*\*FOREIGN APPLICATIONS\*\*\*\*\***  
 VERIFIED

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/27/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no Verified and Acknowledged <u>Examiner's Initials</u> <u>Initials</u>	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CAX	SHEETS DRAWING 2	TOTAL CLAIMS 28	INDEPENDENT CLAIMS 9
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**ADDRESS** VITA G CONFORTI  
 BRINKS HOFER GILSON & LIONE  
 P O BOX 10395  
 CHICAGO IL 60610

**TITLE** EXHALATION VALVE

FILING FEE RECEIVED  \$1,372	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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